



396.43422X00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): T. KOYAMA, et al.

Application No.: 10/765,167

Filed: January 28, 2004

For: POLYISOCYANATE COMPOUND, PROCESS FOR
PRODUCING THE COMPOUND, POLYADDITION
COMPOSITION AND POWDER COATING MATERIAL

Group: 1711

Examiner: R.F. Gorr

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97 AND §1.98**

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

August 27, 2004

Sir:

Enclosed please find a copy of an European Search Report, and copies of documents cited therein, in connection with an European patent application corresponding to the above-identified application. Also enclosed is a Form, substantially equivalent to Form PTO-1449, listing the enclosed documents.

This Information Disclosure Statement is being submitted prior to a first Office Action on the merits in the above-identified application. Accordingly, requirements of 37 CFR § 1.97(b) are clearly satisfied.

In any event, the following Statement is made under 37 CFR § 1.97(e):

Each item of information contained in this
Information Disclosure Statement was first cited in
any communication from a foreign patent office in a

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counterpart foreign application not more than three
months prior to the filing of this Information Disclosure
Statement.

To the extent that the enclosed documents are not in English, it is respectfully submitted that requirements of 37 CFR § 1.98(a)(3) are satisfied by the enclosed European Search Report, citing relevant passages of the documents.

In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR § 1.97 and § 1.98 have been satisfied, in connection with each of the documents listed on the enclosed Form. Accordingly, consideration of the listed documents, upon examination of the above-identified application, is respectfully requested.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to the Deposit Account No. 01-2135(Case No. 396.43422X00), and please credit any excess fees to such Deposit Account.

Respectfully submitted,

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Substitute for form 1449A/PTO		Complete if Known		
		Application Number	10/765,167	
		Filing Date	1/28/2004	
		First Named Inventor	T. Koyama, et al.	
		Art Unit	1711	
		Examiner Name	R.F. Gorr	
Sheet	1	of	1	Attorney Docket Number
<i>(use as many sheets as necessary)</i>				
396.43422X00				

U.S. PATENT DOCUMENTS

Examiner Initials'	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 4,476,054	10/9/1984	Disteldorf, et al.	
		US- 4,413,079	11/1/1983	Disteldorf, et al.	
		US- 5,814,689	9/29/1998	Goldstein, et al.	
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials'	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		DE 198 04 280	8/5/1999			

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Communication and European Search Report mailed May 28, 2004, for EP No. 04 00 1643	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

? Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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